

JUN 23 2004

RECEIVED

JUN 24 2004

BEST AVAILABLE COPY

OFFICE OF PETITIONS

PTO/SB/97 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

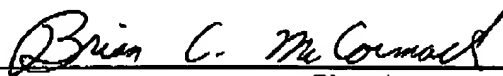
**Certificate of Transmission under 37 CFR 1.8**  
**(703) 872-9306; Attn: Petitions Branch**

OFFICIAL

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office

6/23/2004

on \_\_\_\_\_  
Date



Signature

Brian C. McCormack, Reg. No. 36601

\_\_\_\_\_  
Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

FOR S/N 09/765,966

1. **STATEMENT OF MAILING PURSUANT TO MPEP 513(d) with Exhibits:**
  - A - Petition to Withdraw Holding of Abandonment and accompanying documents originally filed December 29, 2003;
  - B - Supplemental Petition under 37 CFR 1.181 and accompanying documents originally filed February 24, 2004; and
2. Facsimile transmission cover sheet

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

RECEIVED

PATENT

JUN 24 2004

UTILITY PATENT APPLICATION  
Atty. Docket No. 87157656-242004

OFFICE OF PETITIONS

IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

OFFICIAL

RECEIVED  
CENTRAL FAX CENTERIn re Application of: Linkai Bu *et al.*

Serial No.: 09/765,966

Filed: January 19, 2001

For: DYANAMIC CMOS LEVEL-  
SHIFTING CIRCUIT  
APPARATUS

Group Art Unit: Not yet assigned JUN 23 2004

Examiner: Not yet assigned

June 23, 2004

*Via Facsimile (703) 872-9306*  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450  
Attn Mail Stop: Petitions

## STATEMENT OF MAILING PURSUANT TO MPEP 513(d)

Applicant filed a Petition to Withdraw Holding of Abandonment on December 29, 2003 pursuant to 37 CFR 1.181. In addition, Applicant filed a Supplemental Petition on February 24, 2004 pursuant to 37 CFR 1.181. Receipt of both of these correspondences by the Patent Office is confirmed by receipt of their respective return-receipt postcards. Pursuant to a telephone conference on June 22, 2004 with Examiner Derek Woods of the Petitions Branch, it was determined, however, that these correspondences have been lost at the Patent Office.

Copies of the Petition to Withdraw Holding of Abandonment, transmittal documents and return-receipt postcard reflecting receipt by the Patent Office on December 31, 2003 are attached herewith as Exhibit A.

- 1 -

DALDMS/496279.1

Application No.: 09/765,966

. PATENT

Copies of the Supplement Petition under 37 CFR 1.181, transmittal documents and return-receipt postcard reflecting receipt by the Patent Office on February 24, 2004 are attached herewith as **Exhibit B**.

On personal knowledge, the below-signed attorney of record attests that the Petition to Withdraw Holding of Abandonment was filed pursuant to 37 CFR 1.10 on December 29, 2003, express mail number EV417296549US and that the Supplemental Petition under 37 CFR 1.181 was filed pursuant to 37 CFR 1.10 on February 24, 2004, express mail number EV417296725US.

Applicant hereby requests entry of the Petition and Supplemental Petition. Applicant submitted the required fees in the Supplemental Petition filed February 24, 2004; therefore, no additional fees are enclosed. The Commissioner is hereby authorized to debit deposit account number 13-0480 in the event any additional fees are required referencing attorney docket number 87157656.242004.

If the Examiner has any questions or would like to discuss this statement, a telephone conference to the below-signed attorney of record is greatly appreciated.

Respectfully submitted,



Brian C. McCormack, Reg. No. 36,601  
Baker & McKenzie  
Attorney for Applicant(s)  
(214) 978-3007  
(214) 978-3099 (fax)

# EXHIBIT A

RECEIVED



EV 417296549 US

JUN 24 2004

Mailing Label

Label 11-F June 2002



EV 417296549 US



UNITED STATES POSTAL SERVICE®

Post Office To Addressee

ORIGIN (POSTAL USE ONLY)				DELIVERY (POSTAL USE ONLY)			
PO ZIP Code 75201	Day of Delivery <input checked="" type="checkbox"/> Next <input type="checkbox"/> Second	Flat Rate Envelope		Delivery Attempt	Time	Employee Signature	
Date in 12-29-03	<input checked="" type="checkbox"/> 1st Mon <input type="checkbox"/> 3 PM	Postage		Delivery Attempt	Time	Employee Signature	
Time in 5:16	<input type="checkbox"/> AM <input checked="" type="checkbox"/> PM	Return Receipt Fee		Delivery Date	Time	Employee Signature	
Weight 1.00	<input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day	COD Fee Insurance Fee		Mo. Day	<input type="checkbox"/> AM <input type="checkbox"/> PM	DALLAS TX 75201-9786	
No Delivery <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday	Acceptance Clerk Initials 201	Total Postage & Fees \$ 13.65					
CUSTOMER USE ONLY				Federal Agency Acct. No. or Postal Service Acct. No.			
METHOD OF PAYMENT: Direct Mail Corporate Acct. No. A752000							
FROM: (PLEASE PRINT) Brian McCormack BAKER & MCKENZIE 2001 ROSS AVE STE 2300 DALLAS TX 75201-2966				TO: (PLEASE PRINT) Commissioner for Patents P.O. Box 1450 Arlington, VA 22313-1450 Attn: mail stop: petitions			
87157656.2004							
PRESS HARD. You are making a copy.				FOR PICKUP OR TRACKING CALL 1-800-222-1811 www.usps.com			

Date of Mailing:

December 29, 2003

Express Mail

Date of Mailing:

December 29, 2003

Express Mail:

EV417296549US

PLEASE INDICATE  
THAT WEPLEASE INDICATE RECEIPT OF BELOW-IDENTIFIED PAPERS  
THAT WERE MAILED ON DATE ABOVE:
☒ Return Receipt  
☒ Transmittal Form  
☒ Fee Transmittal Form  
☒ Petition with Exhibits A-D

☒ Return Receipt Postcard  
☒ Transmittal Form  
☒ Fee Transmittal Form (in duplicate)  
☒ Petition with Exhibits A-D

IDENTIFICATION

Serial No.:

Filing Date:

Client:

Attorney Docket No.:

Atty:

IDENTIFICATION OF APPLICATION:

Serial No.:

Filing Date:

Client:

Attorney Docket No.:

Atty:

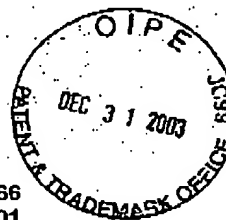
09/765,966

01/19/2001

Chi Mei/Himax

87157656.2004

Brian McCormack



RECEIVED

JUN 24 2004

## OFFICE OF PETITIONS

PTO/SB/21 (08-03)

Approved for use through 08/30/2003. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/765,966
	Filing Date	01/19/2001
	First Named Inventor	Linkai Bu
	Art Unit	Unassigned
	Examiner Name	Unassigned
	Attorney Docket Number	87157656.2004
Total Number of Pages in This Submission		

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input checked="" type="checkbox"/> Petition with Exhibits A-D <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Postcard
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Brian C. McCormack Baker & McKenzie
Signature	<i>Brian C. McCormack</i>
Date	12/29/2003

CERTIFICATE OF TRANSMISSION/MAILING EV417296549US	
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as express mail in an envelope addressed to: the date shown below. Attn: Mail Stop: Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on	
Typed or printed name	Clarissa Arellano
Signature	<i>Clarissa Arellano</i>
Date	12/29/2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003, Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$).00

Complete if Known

Application Number 09/765,966  
Filing Date 01/19/2001 JUN 24 2004  
First Named Inventor Linkai Bu  
Examiner Name Unassigned  
Art Unit Unassigned  
Attorney Docket No. 87157656.2004

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account

Deposit Account Number  
Deposit Account Name

13-0480

Baker McKenzie

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$).00

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	=
Multiple Dependent	-3** =	X	=

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$).00

\*\*or number previously paid, if greater. For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for <i>ex parte</i> reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1808 180	1808 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$).00

## SUBMITTED BY

Name (Print/Type) Brian C. McCormack Registration No. 36,601 (Complete if applicable)  
Signature *Brian C. McCormack* Telephone 214-978-3007  
Date 12/29/2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-4444.

**OFFICIAL**UTILITY PATENT APPLICATION  
Atty. Docket No. 87157656-002004**IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE****RECEIVED  
CENTRAL FAX CENTER**

JUN 23 2004

In re Application of: Linkai Bu *et al.*

Serial No.: 09/765,966

Filed: January 19, 2001

For: DYANAMIC CMOS LEVEL-  
SHIFTING CIRCUIT  
APPARATUS

Group Art Unit: Not yet assigned

Examiner: Not yet assigned

JUN 24 2004

**RECEIVED  
OFFICE OF PETITIONS**Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450  
Attn Mail Stop: Petitions

December 29, 2003

**PETITION UNDER 37 C.F.R. 1.181**

In response to the Notice of Abandonment mailed October 27, 2003, in the present Application, the Applicant respectfully submits this Petition to Withdraw Holding of Abandonment under 37 C.F.R. § 1.181.

**CERTIFICATE OF EXPRESS MAIL - EV417296549US**

I hereby certify that this correspondence is being deposited with sufficient postage in the U.S. Mail, Express Mail Service, addressed to:

Commissioner for Patents  
P.O. Box 1450  
Arlington, VA 22313-1450  
Attn-Mail Stop: PetitionsDate of Mailing: December 29, 2003

Signature:

  
Brian C. McCormack

PETITION TO WITHDRAW HOLDING OF ABANDONMENT

PAGE 1 OF 5



**I. PETITION TO WITHDRAW NOTICE OF ABANDONMENT UNDER 37 C.F.R. 1.181**

The Applicant respectfully requests that this Petition to Withdraw Holding of Abandonment under 37 C.F.R. § 1.181 (this "Petition") be granted and that the Notice of Abandonment in the present Application be withdrawn. The Manual of Patent Examining Procedure (M.P.E.P.) provides that if an application is improperly abandoned, the holding of abandonment may be withdrawn upon petition:

Where an applicant contends that the application is not in fact abandoned . . . a petition under 37 CFR 1.181(a) requesting withdrawal of the holding of abandonment is the appropriate cause of action, and such petition does not require a fee.

M.P.E.P. § 711.03(c). Based upon the following evidence, the Applicant respectfully submits that the present Application was improperly abandoned.

A Notice to File Missing Parts (the "Notice") was allegedly mailed on the present Application on February 27, 2001. However, the Applicant respectfully submits that the Notice was never received by the Applicant at the Correspondence Address designated in the present Application. Rather, according to our review of the prosecution history in this case, the Notice was addressed to Chi Mei Optoelectronics Corporation ("Chi Mei") and was never received by Applicant's attorneys. Consequently, no reply was filed before the Notice response deadline and the present Application became abandoned on October 27, 2003, thirty-two (32) months from the mailing date of the Notice. A Notice of Abandonment Under 37 CFR § 1.53(f) or (g) was mailed October 27, 2003. This Petition is being filed within the two-month response period set forth in 37 C.F.R. § 1.181(f). In addition, it is believed that no fees for this Petition are due. M.P.E.P. 711.03(c).

As stated above, the Notice was addressed to Chi Mei rather than the Correspondence Address designated in the present Application. While Chi Mei is the client of Baker &

McKenzie and was an intended assignee of the present Application, no assignment from the inventors to Chi Mei was ever filed with the United States Patent and Trademark Office ("USPTO"). On the original transmittal sheet for the filing of the present Application (EXHIBIT A TO DECLARATION OF ANTOINETTE F. KONSKI), the designated Correspondence Address for the present Application was the Palo Alto office of Baker & McKenzie. The client's Taipei address was only shown as an address for the "Applicant" on an unofficial data sheet submitted with the filing. It should be noted that the transmittal sheet does not have a check in the checkbox for the official Application Data Sheet according to 37 CFR § 1.76. Rather, the only indication of the inclusion of a data sheet is reflected under part 16 of the heading "Accompanying Application Parts." Furthermore, the data sheet that was included in the present Application does not comply with the requirements of 37 CFR §1.76 and, therefore, should not have been designated the official Application Data Sheet.

In support of the above, a Declaration of Antoinette F. Konski, a previous attorney in the Palo Alto office of Baker & McKenzie, the designated Correspondence Address for the present Application at the time the application was filed and the Notice was mailed, is attached (EXHIBIT B). Ms. Konski states that the Palo Alto never received the Notice dated February 27, 2001 (Konski Decl. ¶10). Additionally, a Declaration of June Lee, a former Patent Administrator in the Taipei office of Baker & McKenzie, is attached (EXHIBIT A), wherein Ms. Lee states that in the normal course of her work she received correspondence from the Palo Alto office on certain patent related matters on which the Palo Alto office was the designated Correspondence Address, including matters that are being handled for clients of the Taipei office, such as the present Application (Lee Decl. ¶4). Ms. Lee further states that to the best of her knowledge, the Taipei office also did not receive the Notice, either from the Palo Alto office

or the client, Chi Mei (Lee Decl. ¶5). Furthermore, a Declaration of Gerald Lin, a Patent Engineer in the Taipei office of Baker & McKenzie, is attached (EXHIBIT C), wherein Mr. Lin states that he has searched the file jacket of the present Application and was unable to locate a copy of the Notice, which indicates that the Notice was not received by the Taipei office (Lin Decl. ¶4).

The M.P.E.P. further states that:

The showing required to establish the nonreceipt of an Office communication must include a statement from the practitioner stating that the Office communication was not received by the practitioner and attesting to the fact that a search of the file jacket and docket records indicates that the Office communication was not received. A copy of the docket record where the nonreceived Office communication would have been entered had it been received and docketed must be attached to and referenced in practitioner's statement. For example, if a three month period for reply was set in the nonreceived Office action, a copy of the docket report showing all replies docketed for a date three months from the mail date of the nonreceived Office action must be submitted as documentary proof of nonreceipt of the Office action.

M.P.E.P. 711.03(c). A copy of the docket records of the Palo Alto office of Baker & McKenzie where a response to the non-received Notice would have been entered if the Notice was received (EXHIBIT B TO DECLARATION OF ANTOINETTE F. KONSKI) is attached to and referenced in Ms. Konksi's Declaration (Konksi Decl. ¶10). The attached docket records illustrate all of the matters docketed for action from January 19, 2001 to July 19, 2002, and illustrates the absence of a docketed response deadline to the Notice during this period. Specifically, the attached docket records for the present Application illustrate all of the matters docketed for action on April 27, 2001, the end of the two-month period for response to the Notice mailed February 27, 2001, and illustrate the absence of a docketed response deadline to the Notice on that date.

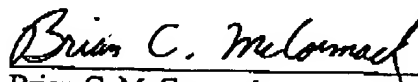
In addition, the Declaration of Brian C. McCormack, a registered patent attorney (Reg. No. 36,601) in the Dallas office of Baker & McKenzie, is also attached (EXHIBIT D). The Declaration of Brian McCormack sets forth numerous facts establishing that the Applicant has diligently pursued the preparation and filing of this Petition in order to the revive of the present Application. Accordingly, Applicant respectfully requests the holding of abandonment be withdrawn in the present Application based on the failure to receive the Notice dated February 27, 2001.

## II. CONCLUSION

In view of the foregoing, the Applicants respectfully request the present Application be revived in response to this Petition. Although no fees are believed to be due with the present Petition, authorization is hereby given to charge any necessary fees that may be required to Deposit Account No. 13-0480, referencing Attorney Docket No. 87157656-002004. The Applicant respectfully requests the undersigned Attorney of Record be contacted with regard to any questions or concerns regarding the present Petition.

Respectfully submitted,

Date: December 29, 2003



Brian C. McCormack  
Reg. No. 36,601  
Attorney for Applicant

**BAKER & MCKENZIE**  
2300 Trammell Crow Center  
2001 Ross Avenue  
Dallas, TX 75201  
Tel: (214) 978-3000  
Fax: (214) 978-3099

**EXHIBIT A****DECLARATION OF JUNE LEE**

I, June Lee, a citizen of the R.O.C. and residing at 8F, No. 12, Lane 28, Long An Rd, Shiji, Taipei County, Taiwan, R.O.C. declare:

1. My name is June Lee and I am over the age of twenty-one (21) years, of sound mind, and fully competent to testify to the following facts. I have personal knowledge that all facts set forth in this Declaration are true and correct.
2. I was the former Patent Administrator in the Taipei office of Baker & McKenzie, which has an address of 15<sup>th</sup> Floor, Hung Tai Center, No. 168, Tun Hwa North Road, Taipei, Taiwan 105.
3. The Palo Alto office of Baker & McKenzie, which has an address of 660 Hansen Way, Palo Alto, California 94304, was the designated Correspondence Address for U.S. Patent Application No. 09/765,966 (the "Application"), on February 27, 2001, the mailing date set forth in the Notice to File Missing Parts issued for the Application.
4. In the normal course of my work while I was a Patent Administrator in the Taipei office of Baker & McKenzie, I received correspondence on patent related matters handled by Taipei Office, but on which the Palo Alto office was the designated Correspondence Address.
5. While I as a Patent Administrator in the Taipei office of Baker & McKenzie, I did not receive a Notice to File Missing Parts dated February 27, 2001, issued by the United States Patent and Trademark Office from the Palo Alto Office.
6. I further declare that all statements made herein of my own personal knowledge are true, and all statements made on information are believed to be true; and furthermore, that the

DECLARATION OF JUNE LEE

PAGE 1 OF 2

UBLIC  
S. LEE

statements were made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title XVIII of the United States Code.

June Lee  
June Lee

SUBSCRIBED AND SWORN TO BEFORE ME on this 23 day of December 2003, to certify which witness my hand and seal of office.

My Commission Expires:

December 31, 2011

Notary Public in and for the

State of Taiwan, Republic of China

John Lee

Printed Name of Notary

Printed Name of Notary

案號	日期
Case No. 00129	Date DEC 23 2003
本文件之簽名或蓋章，於台灣台北地方法院民間公證人處認證。	
Attested at the Notary Public of Taiwan Taipei District Court, R.O.C. that the signature(s)/seal(s) in this document is/are authentic.	
Notary Public	<u>John S. Lee</u>
公證人	李忠雄
	LEEJOHN-SHUNG

DECLARATION OF JUNE LEE

PAGE 2 OF 2

**EXHIBIT B****DECLARATION OF ANTOINETTE F. KONSKI**

I, Antoinette F. Konski, a citizen of the United States and residing at 2161 Sharon Road, Menlo Park, CA 94025, USA, declare:

1. My name is Antoinette F. Konski and I am over the age of twenty-one (21) years, of sound mind, and fully competent to declare as follows. I have personal knowledge that all facts set forth in this Declaration are true and correct.
2. I was a patent attorney (Reg. No. 34,202) in the Palo Alto office of Baker & McKenzie, which has an address of 660 Hansen Way, Palo Alto, California 94304, from May 17, 1999 to June 7, 2001, and I was the responsible partner for patent matters being handled from that office.
3. I have reviewed the United States Patent & Trademark Office's (USPTO's) prosecution history for U.S. Patent Application No. 09/765,966 (the "Application"), and my review of the prosecution history refreshed my recollection and was consistent with my knowledge of the facts as set forth below.
4. On January 19, 2001, I filed the Application on behalf of Baker & McKenzie's local Taipei, Taiwan office client, Chi Mei Optoelectronics Corporation. For the purposes of communication, all correspondence from the U.S. Patent Office received by me in the local Palo Alto office was forwarded to the Baker & McKenzie Taipei office after entry into our patent docketing database.

DECLARATION OF ANTOINETTE F. KONSKI

PAGE 1 OF 3

5. On the original transmittal sheet for the filing of this application, which is attached as Exhibit A to this declaration ("Transmittal"), the designated Correspondence Address for the Application was Baker & McKenzie's Palo Alto office, designated for my attention.

6. According to the Application's prosecution history, a Notice to File Missing Parts in this Application was mailed by the USPTO on February 27, 2001, but it was mailed to the client's Taipei address, which was only shown as an address for the "Applicant" on an unofficial data sheet submitted with the filing. The Transmittal does not have a check in its checkbox provided for indicating the enclosure of an official Application Data Sheet (37 C.F.R. § 1.75).

7. In the normal course of my work while I was an attorney in the Palo Alto office of Baker & McKenzie, I received correspondence on patent-related matters for which the Palo Alto office was the designated Correspondence Address.

8. In the normal course of my work, I forwarded correspondence I received on patent related applications filed on behalf of the local Taipei, Taiwan office of Baker & McKenzie, which has an address of 15<sup>th</sup> Floor, Hung Tai Center, No. 168, Tun Hwa North Road, Taipei, Taiwan 105, when the matters originated in the Taipei office.

9. While I was an attorney in the Palo Alto office of Baker & McKenzie, it was our normal procedure to enter all USPTO filings into the docketing system so that a docket report of actions due for such matters could be generated from the docketing system.

10. As designated in the attached docket listing of Exhibit B for the relevant period, I did not receive the Notice to File Missing Parts dated February 27, 2001, from the USPTO.

11. I further declare that all statements made herein of my own personal knowledge are true, and all statements made on information are believed to be true; and furthermore, that the statements were made with the knowledge that willful, false statements and the like so made are

DECLARATION OF ANTOINETTE F. KONSKI

PAGE 2 OF 3



punishable by fine or imprisonment, or both, under Section 1001 of Title XVIII of the United States Code.

Antoinette F. Konski  
Antoinette F. Konski

SUBSCRIBED AND SWORN TO BEFORE ME on this 18<sup>th</sup> day of December 2003, to certify which witness my hand and seal of office.

My Commission Expires:

July 24, 2005

Karen K. Brandon  
Notary Public in and for the

State of California

Karen K Brandon  
Printed Name of Notary



DECLARATION OF ANTOINETTE F. KONSKI

PAGE 3 OF 3

## EXHIBIT A TO DECLARATION OF ANTOINETTE F. KONSKI

01-22-01

A

1095 U.S. 200  
01/19/01Please type a price sign (\$) inside this box → ☒Approved for use through 10/1/2002. OMB 0817-0002  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(a))

Attorney Docket No. 341181-002004

First Inventor or Application Identifier LINDA3 BU

Title DYNAMIC CMOS LEVEL-SHIFTING CIRCUIT APPARATUS

Express Mail Label No. ELR1390025US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning design patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for the processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification (Total Pages 18)  
(Indicate arrangement set forth below)  
- Descriptive title of the invention  
- Cross References to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Background of the invention  
- Brief Summary of the invention  
- Brief Description of the Drawings (if any)  
- Detailed Description  
- Claims  
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) (Total Sheets 5)  
(Total Pages 5)
5. Oath or Declaration (Total Pages 5)  
a. ☐ Newly executed (original or copy)  
b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 17 completed)  
c. ☐ DELETION OF INVENTOR(S)  
Signed statements attached detailing inventor(s) named in the prior application, see 37 CFR 1.62(d)(2) and 1.32(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO

Assistant Commissioner for Patents  
Box Patent Applications  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  
a. ☐ Computer Readable Form (CRF)  
b. Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ paper  
c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. § 3.73(b) Statement of Power of Attorney (when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS/PTO-1449) ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically marked)
15. ☐ Certified Copy of Priority Document(s)  
(If foreign priority is claimed)
16. ☒ Other Data Sheet

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)
- Prior application information: Inventor: \_\_\_\_\_ of prior application No. \_\_\_\_\_  
Group / Aff. Unit: \_\_\_\_\_
- For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5A, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been specifically certified from the submitted application parts.

## 17. CONTINUING APPLICATION ADDRESS

☒ Customer Number or Bar Code Label 022838 or ☐ Correspondence address below

Name	Antoinette F. Konksi				
	Baker & McKenzie				
Address	650 Harkness Way				
City	Palo Alto	State	California	Zip Code	94304
Country	USA	Telephone	(850) 856-2400	Fax	(850) 856-0200
Name (Print/Type)	Antoinette F. Konksi	Registration No. (Attorney/Agent)	34,202		
Signature	<i>Antoinette F. Konksi</i>	Date	January 19, 2001		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office.

195655v1

## EXHIBIT B TO DECLARATION OF ANTOINETTE F. KONSKI

PCMaster v3.01.01 [Patent]

9/14/1981-002004

United States

Regular

Original Filing

National

CHI MEI OPTOELECTRONICS, CO.

Event	Date	Event	Date	Event	Date
Info Disc. Statement	3/19/2001		3/19/2001		
Foreign filing - 6	4/19/2001		4/19/2001		
Foreign filing - 9	6/7/2001				
Foreign filing - 11	7/19/2001				
Foreign filing - 11	9/7/2001				
Foreign filing	11/7/2001				
Published	12/7/2001				
Published	7/19/2002				
Await. CC of Patent				6/19/2001	
New Application				6/22/2001	B&M San Diego
				1/19/2001	

**EXHIBIT B****DECLARATION OF JUNE LEE**

I, June Lee, a citizen of the R.O.C. and residing at 8F, No. 12, Lane 28, Long An Rd, Shiji, Taipei County, Taiwan, R.O.C. declare:

1. My name is June Lee and I am over the age of twenty-one (21) years, of sound mind, and fully competent to testify to the following facts. I have personal knowledge that all facts set forth in this Declaration are true and correct.
2. I was the former Patent Administrator in the Taipei office of Baker & McKenzie which has an address of 15<sup>th</sup> Floor, Hung Tai Center, No. 168, Tun Hwa North Road, Taipei, Taiwan 105.
3. The Palo Alto office of Baker & McKenzie, which has an address of 660 Hansen Way, Palo Alto, California 94304, was the designated Correspondence Address for U.S. Patent Application No. 09/765,966 (the "Application"), on February 27, 2001, the mailing date set forth in the Notice to File Missing Parts issued for the Application.
4. In the normal course of my work while I was a Patent Administrator in the Taipei office of Baker & McKenzie, I received correspondence on patent related matters handled by Taipei Office, but on which the Palo Alto office was the designated Correspondence Address.
5. While I was a Patent Administrator in the Taipei office of Baker & McKenzie, I did not receive a Notice to File Missing Parts dated February 27, 2001, issued by the United States Patent and Trademark Office from the Palo Alto Office.
6. I further declare that all statements made herein of my own personal knowledge are true, and all statements made on information are believed to be true; and furthermore, that the

DECLARATION OF JUNE LEE

PAGE 1 OF 2

PUBLIC  
S. LEE

statements were made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title XVIII of the United States Code.

  
June Lee

SUBSCRIBED AND SWORN TO BEFORE ME on this 23 day of December 2003, to certify which witness my hand and seal of office.

My Commission Expires:

December 31, 2011

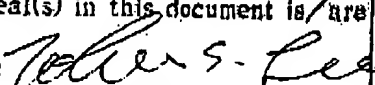
Notary Public in and for the

State of Taiwan, Republic of China

John Lee

Printed Name of Notary

Printed Name of Notary

案號	日期
Case No. 00129	Date DEC 23 2003
本文件之簽名或蓋章，於台灣台北地方法院民間公證人處認證。	
Attested at the Notary Public of Taiwan Taipei District Court, R.O.C. that the signature(s)/seal(s) in this document is/are authentic.	
Notary Public	
公證人	李忠雄
	LEEJOHN-SHIUNG

**EXHIBIT D****DECLARATION OF BRIAN McCORMACK**

I, Brian C. McCormack, a citizen of the United States of America and residing at 5204 Meadowchase Lane, Flower Mound, Texas 75028, declare:

1. My name is Brian C. McCormack and I am over the age of twenty-one (21) years, of sound mind, and fully competent to testify to the following facts. I have personal knowledge that all facts set forth in this Declaration are true and correct.

2. I am a registered patent attorney (Reg. No. 36,601) at the firm of Baker & McKenzie at the Dallas, Texas office, which has an address of 2001 Ross Avenue, Suite 2300, Dallas, Texas 75201.

3. On November 13, 2003, the Dallas office received an inquiry from the Taipei office of Baker & McKenzie, which has an address of 15<sup>th</sup> Floor, Hung Tai Center, No. 168, Tun Hwa North Road, Taipei, Taiwan 105, regarding the filing of a Petition to Withdraw Holding of Abandonment for U.S. Patent Application No. 09/765,966, which has an Attorney Docket No. 87157656-002004 (the "Application").

4. On November 13, 2003, I began an investigation into the abandonment of the Application, and the actions available to be taken regarding this matter.

5. On December 4, 2003, I contacted Gerald Lin of the Taipei, Taiwan office of Baker & McKenzie, which has an address of 15<sup>th</sup> Floor, Hung Tai Center, No. 168, Tun Hwa North Road, Taipei, Taiwan 105, and informed him that the Dallas office would prepare the appropriate document requesting that the Application be revived or the abandonment of the Application be withdrawn.

DECLARATION OF BRIAN C. McCORMACK

PAGE 1 OF 2

6. Since November 13, 2003, the Dallas office has diligently pursued, without undue delay and with the cooperation of Gerald Lin, Antoinette F. Konski and June Lee, the preparation of a Petition to Withdraw Holding of Abandonment for the Application, including accompanying Declarations, until the date the Petition was filed with the United States Patent and Trademark Office.

7. I further declare that all statements made herein of my own personal knowledge are true, and all statements made on information are believed to be true; and furthermore, that the statements were made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title XVIII of the United States Code.

Brian C. McCormack

Brian C. McCormack

SUBSCRIBED AND SWORN TO BEFORE ME on this 29<sup>th</sup> day of December 2003, to certify which witness my hand and seal of office.

My Commission Expires:

9/12/2005



Jana Taylor  
Notary Public in and for the

State of Texas

Jana Taylor  
Printed Name of Notary

DECLARATION OF BRIAN C. MCCORMACK

PAGE 2 OF 2

**EXHIBIT C****DECLARATION OF GERALD LIN**

I, Gerald Lin, a citizen of R.O.C. and residing at 3F-5, No. 381, Sec. 2, Fu Hsin S. Rd. Taipei 106, Taiwan, R.O.C., declare:

1. My name is Gerald Lin and I am over the age of twenty-one (21) years, of sound mind, and fully competent to testify to the following facts. I have personal knowledge that all facts set forth in this Declaration are true and correct.
2. I am a patent engineer at the Taipei office of Baker & McKenzie, which has an address of 15<sup>th</sup> Floor, Hung Tai Center, No. 168, Tun Hwa North Road, Taipei, Taiwan 105.
3. On November 10, 2003, I received a letter from Irene Keselman of the New York office of Baker & McKenzie informing me that a Notice of Abandonment issued on October 27, 2003, for U.S. Patent Application No. 09/765,966, which has an Attorney Docket No. 87157656-002004 (the "Application"), due to failure to reply to a Notice to File Missing Parts mailed February 27, 2001 (the "Notice").
4. I have searched the file jacket of the Application and was unable to locate a copy of the Notice, which indicates that the Notice was not received by the Taipei office.
5. I further declare that all statements made herein of my own personal knowledge are true, and all statements made on information are believed to be true; and furthermore, that the statements were made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title XVIII of the United States Code.

DECLARATION OF GERALD LIN

PAGE 1 OF 2





Gerald Lin

SUBSCRIBED AND SWORN TO BEFORE ME on this 24 day of December 2003, to certify which witness my hand and seal of office.

My Commission Expires:

December 31, 2011

Notary Public in and for the

State of \_\_\_\_\_

Printed Name of Notary \_\_\_\_\_

案號	日期
Case No. 00130	Date DEC 24 2003
本文件之簽名或蓋章，於台灣台北地方法院民間公證人處認證。	
Attested at the Notary Public of Taiwan Taipei District Court, R.O.C. that the signature(s)/seal(s) in this document is/are authentic.	
Notary Public	
公證人	李忠雄 LEE JOHN-SHIUNG

DECLARATION OF GERALD LIN

PAGE 2 OF 2

**EXHIBIT C****DECLARATION OF GERALD LIN**

I, Gerald Lin, a citizen of R.O.C. and residing at 3F-5, No. 381, Sec. 2, Fu Hsin S. Rd. Taipei 106, Taiwan, R.O.C., declare:

1. My name is Gerald Lin and I am over the age of twenty-one (21) years, of sound mind, and fully competent to testify to the following facts. I have personal knowledge that all facts set forth in this Declaration are true and correct.
2. I am a patent engineer at the Taipei office of Baker & McKenzie, which has an address of 15<sup>th</sup> Floor, Hung Tai Center, No. 168, Tun Hwa North Road, Taipei, Taiwan 105.
3. On November 10, 2003, I received a letter from Irene Keselman of the New York office of Baker & McKenzie informing me that a Notice of Abandonment issued on October 27, 2003, for U.S. Patent Application No. 09/765,966, which has an Attorney Docket No. 87157656-002004 (the "Application"), due to failure to reply to a Notice to File Missing Parts mailed February 27, 2001 (the "Notice").
4. I have searched the file jacket of the Application and was unable to locate a copy of the Notice, which indicates that the Notice was not received by the Taipei office.
5. I further declare that all statements made herein of my own personal knowledge are true, and all statements made on information are believed to be true; and furthermore, that the statements were made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title XVIII of the United States Code.

DECLARATION OF GERALD LIN

PAGE 1 OF 2

PUBLIC  
S. LEE

*Gerald Lin*

Gerald Lin

SUBSCRIBED AND SWORN TO BEFORE ME on this 24 day of December 2003, to certify which witness my hand and seal of office.

My Commission Expires:

December 31, 2011

Notary Public in and for the

State of \_\_\_\_\_

Printed Name of Notary \_\_\_\_\_

案號	日期
Case No. 00130	Date DEC 24 2003
本文件之簽名或蓋章，於台灣台北地方法院民間公證人處認證。	
Attested at the Notary Public of Taiwan Taipei District Court, R.O.C. that the signature(s)/seal(s) in this document is/are authentic.	
Notary Public	<i>John S. Lee</i>
公證人	李忠雄
LEEJOHN-SHIUNG	

DECLARATION OF GERALD LIN

PAGE 2 OF 2

**EXHIBIT B****DECLARATION OF JUNE LEE**

I, June Lee, a citizen of the R.O.C. and residing at 8F, No. 12, Lane 28, Long An Rd, Shiji, Taipei County, Taiwan, R.O.C. declare:

1. My name is June Lee and I am over the age of twenty-one (21) years, of sound mind, and fully competent to testify to the following facts. I have personal knowledge that all facts set forth in this Declaration are true and correct.
2. I was the former Patent Administrator in the Taipei office of Baker & McKenzie which has an address of 15<sup>th</sup> Floor, Hung Tai Center, No. 168, Tun Hwa North Road, Taipei, Taiwan 105.
3. The Palo Alto office of Baker & McKenzie, which has an address of 660 Hansen Way, Palo Alto, California 94304, was the designated Correspondence Address for U.S. Patent Application No. 09/765,966 (the "Application"), on February 27, 2001, the mailing date set forth in the Notice to File Missing Parts issued for the Application.
4. In the normal course of my work while I was a Patent Administrator in the Taipei office of Baker & McKenzie, I received correspondence on patent related matters handled by Taipei Office, but on which the Palo Alto office was the designated Correspondence Address.
5. While I was a Patent Administrator in the Taipei office of Baker & McKenzie, I did not receive a Notice to File Missing Parts dated February 27, 2001, issued by the United States Patent and Trademark Office from the Palo Alto Office.
6. I further declare that all statements made herein of my own personal knowledge are true, and all statements made on information are believed to be true; and furthermore, that the

DECLARATION OF JUNE LEE

PAGE 1 OF 2

PUBLIC  
S. LEE

statements were made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title XVIII of the United States Code.

June Lee  
June Lee

SUBSCRIBED AND SWORN TO BEFORE ME on this 23 day of December 2003, to certify which witness my hand and seal of office.

My Commission Expires:

December 31, 2011

\_\_\_\_\_  
Notary Public in and for the

State of Taiwan, Republic of China

John Lee

Printed Name of Notary

\_\_\_\_\_  
Printed Name of Notary

案號	日期
Case No. 00129	Date DEC 23 2003
本文件之簽名或蓋章，於台灣台北地方法院民間公證人處認證。	
Attested at the Notary Public of Taiwan Taipei District Court, R.O.C. that the signature(s)/seal(s) in this document is/are authentic.	
Notary Public	<u>John S. Lee</u>
公證人	李忠雄
	LEE JOHN-SHIUNG

DECLARATION OF JUNE LEE

PAGE 2 OF 2

# EXHIBIT B

EV 417296725 US

Mailing Label  
Label 11-F June 2002

UNITED STATES POSTAL SERVICE®

Post Office To Addressee  
Customer Copy  
Label 11-F June 2002

ORIGIN (POSTAL USE ONLY)



EV 417296725 US



UNITED STATES POSTAL SERVICE®

Post Office To Addressee

ORIGIN (POSTAL USE ONLY)			
PO Zip Code 57200	Day of Delivery <input checked="" type="checkbox"/> Next <input type="checkbox"/> Second	Flat Rate Envelope	
Date In Mo. Day Year 7 14 04	<input checked="" type="checkbox"/> 1st Noon <input type="checkbox"/> 3 PM	Postage	
Time In <input type="checkbox"/> AM <input type="checkbox"/> PM	<input type="checkbox"/> 1st Day <input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day	Return Receipt Fee	
Weight lbs. 1.0 oz.	Int'l Alpha Country Code	COD Fee	Insurance Fee
No Delivery <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday	Acceptance Clerk Initials DM	Total Postage & Fees \$ 13.65	

DELIVERY (POSTAL USE ONLY)		
Delivery Attempt	Time <input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature
Mo. Day		
Delivery Attempt	Time <input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature
Mo. Day		
Delivery Date	Time <input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature
Mo. Day		

CUSTOMER USE ONLY METHOD OF PAYMENT Express Mail Corporate Acct. No.	X752000
--	---------

Related Agency Acct. No. or Postal Service Acct. No.	
---	--

FROM: (PLEASE PRINT) JAMES H. ORTEGA BAKER & MCKENZIE 2001 ROSS AVE STE 300 DALLAS TX 75201-2968 HIMAX 87157656.242004	TO: (PLEASE PRINT) Mainstay Patents Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22310-1450
---	--

PRESS HARD.  
You are making 3 copies.

FOR PICKUP OR TRACKING CALL 1-800-222-1811 www.usps.com

PLEASE INDICATE RECEIPT OF BELOW-IDENTIFIED PAPERS THAT  
WERE MAILED ON DATE ABOVE:

- ☒ Return Receipt Postcard
- ☒ Check in the amount of \$1,000.00
- ☒ Transmittal Form
- ☒ Fee Transmittal Form FY 2004
- ☒ Declaration (3 pages)
- ☒ Petition (2 pages)

IDEI  
App  
Filing  
Clerk  
AttyDate of Mailing:  
Express Mail:February 24, 2004  
EV 417296725 USPLEASE INDICATE RECEIPT OF BELOW-IDENTIFIED PAPERS THAT  
WERE MAILED ON DATE ABOVE:

- ☒ Return Receipt Postcard
- ☒ Check in the amount of \$1,000.00
- ☒ Transmittal Form
- ☒ Fee Transmittal for FY 2004
- ☒ Declarations (3 pages)
- ☒ Petition (2 pages)

IDENTIFICATION OF APPLICATION:

Application No.:  
Filing Date:  
Client:  
Attorney Docket No.:  
Atty:09/765,966  
January 19, 2001  
HiMAX Technologies, Inc.  
87157656.242004  
James H. Ortega

RECEIVED

JUN 24 2004

OFFICE OF PETITIONS



08/23/04 WED 13:45 FAX 214 965 5900

BAKER &amp; MCKENZIE-DALLAS

032

Citibank Delaware Operating Account

DATE: 02-24-04

PAYEE:

Commissioner Of Patents

VENDOR ID: DA001015

CHECK #: 73002

VOUCHER	INVOICE #	INV DATE	AMOUNT	VENDOR COMMENTS
440962	2/24/04	Feb 24, 2004	1,000.00	
			1,000.00	

CHECK IS VOID IF ANY OF THE FOLLOWING SECURITY FEATURES ARE ABSENT: ORIGINAL DOCUMENT PRINTED ON CHEMICAL REACTIVE PAPER WITH

**BAKER & MCKENZIE**  
ATTORNEYS AT LAW  
200 CROSS AVENUE, SUITE 2900  
DALLAS, TX 75201  
214 778 3000

Citibank Delaware  
A Subsidiary of Citicorp  
One Penn Plaza  
New Castle, DE 19720

CHECK NO. 73002

CHECK DATE: Feb 24, 2004

CHECK AMOUNT: \$\*\*\*\*\*1,000.00

VOID AFTER 6 MONTHS

PAY TO THE ORDER OF: Commissioner Of Patents

PAY\*\* ONE THOUSAND AND 00/100 US DOLLAR

MICROPRINTED BORDER, CONTAINS HEAT SENSITIVE INK, RUB RED IMAGE - DISAPPEARS WITH HEAT. SEE BACK FOR ARTIFICIAL WATERMARK. - HOLD AT ANGLE TO VIEW

NO 7300211 031100204 3910551711



RECEIVED 033

JUN 24 2004

OFFICE OF PATENTS

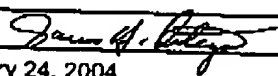
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Approved for use through 08/30/2003. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/765,968	
	Filing Date	January 19, 2001	
	First Named Inventor	Linkai Bu	
	Art Unit	Not yet assigned	
	Examiner Name	Unassigned	
Total Number of Pages In This Submission	7	Attorney Docket Number	87157656.242004

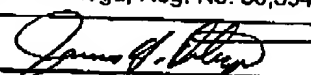
ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input checked="" type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input checked="" type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Return Receipt Postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s)	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	James H. Ortega Baker & McKenzie
Signature	
Date	February 24, 2004

## CERTIFICATE OF TRANSMISSION/MAILING EV 417296725 US

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail post office to Addressee" service under 37 C.F.R. 1.10 on the date indicated below and addressed to Mail Stop: Petitions, Commissioner for Patents, Alexandria, Virginia 22313-1450.

Typed or printed name	James H. Ortega, Reg. No. 50,554
Signature	
Date	February 24, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9189 and select option 2.

1242004

RECEIVED  
OFFICE OF PETITION

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Approved for use through 07/31/2008. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE**FEE TRANSMITTAL  
for FY 2004**

Effective 10/01/2003, Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$1000.00)

## Complete If Known

Application Number	09/765,966
Filing Date	January 19, 2001
First Named Inventor	Linkai Bu
Examiner Name	Unassigned
Art Unit	Unassigned
Attorney Docket No.	87157656.242004

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None
☒ Deposit Account:

Deposit Account Number	13-0480
Deposit Account Name	Baker & McKenzie

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any deficiencies☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	710.00
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 180	2005 80	Provisional filing fee	
SUBTOTAL (1)			(\$710.00)

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** = 2	80	160
Multiple Dependent	-3** = 0		
SUBTOTAL (2)			(\$160.00)

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 88	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee of both	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	2053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920	1804 920	Requesting publication of SIR prior to Examiner action	
1805 1,840	1805 1,840	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	130.00
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$130.00)

## SUBMITTED BY

Name (Print/Type)	James H. Ortega	Registration No. (Attorney/Agent)	50,554	Telephone	214.978.3058
Signature	<i>James H. Ortega</i>	Date	February 24, 2004		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

**COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY**  
(Includes Reference to PCT International Applications)ATTORNEY DOCKET NUMBER  
41181-682864

As a below named inventor, I (we) hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

DYNAMIC CMOS LEVEL-SHIFTING CIRCUIT APPARATUS

the specification of which (check only one item below):

☐ is attached hereto.☒ was filed as United States applicationSerial No. 09/765,966On January 19, 2001

and was amended

on \_\_\_\_\_ (if applicable)

☐ was filed as PCT international application

Number \_\_\_\_\_

on \_\_\_\_\_

and was amended under PCT Article 19

on \_\_\_\_\_ (if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 or §356 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America, listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

COUNTRY (if PCT, indicate "PCT")	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 USC 119
Taiwan	89126108	07 December 2000	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

APPLICATION NUMBER	DATE OF FILING (day, month, year)

1. Additional Provisional Application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

Combined Declaration For Patent Application and Power of Attorney (Continued) <small>(Includes References to PCT International Applications)</small>				ATTORNEY DOCKET NUMBER 41151-63264	
<p>I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application:</p>					
<p><b>PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120:</b></p>					
U.S. APPLICATIONS					
U.S. APPLICATION NUMBER	U.S. FILING DATE	STATUS (Check one)			
		PATENTED	PENDING	ABANDONED	
PCT APPLICATIONS DESIGNATING THE U.S.					
PCT APPLICATION NO.	PCT FILING DATE	U.S. SERIAL NUMBERS ASSIGNED (if any)			
<p><b>POWER OF ATTORNEY:</b> As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: MITCHELL P. BROOK, Reg. No. 32,967; DAVID I. ROCHE, Reg. No. 30,767; JOHN G. FLAUM, Reg. No. 37,323; CHARLES S. BERKMAN, Reg. No. 38,977; PETER R. MARTINEZ, Reg. No. 42,845 and all attorneys with the firm of BAKER &amp; MCKENZIE, which has an office address at 101 West Broadway, San Diego, CA 92101-3890.</p>					
<p>Send Correspondence to:</p> <p><b>Mitchell P. Brook, Esq.</b>  <b>BAKER &amp; MCKENZIE</b>          101 West Broadway, 12th Floor          San Diego, CA 92101-3890</p>			<p>Direct Telephone Calls to:          (name and telephone number)</p> <p><b>Mitchell P. Brook</b>          (619) 236-1441</p>		
<p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.</p>					
2 0 1	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME	
	INVENTOR'S SIGNATURE	<i>Linkai</i>		DATE	
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP	
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY	
		Tainan	Taiwan, R.O.C.	R.O.C., Taiwan	
		No. 20, Alley 27, Lane 103 Shi Jian Street		Taiwan R.O.C.	
2 0 2	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME	
	INVENTOR'S SIGNATURE	<i>Chuan-Cheng</i>		DATE	
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP	
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY	
		Hsiao	Chuan-Cheng		
		Jiayi	Taiwan, R.O.C.	R.O.C., Taiwan	
		No. 384-4, Hsin Cuo Zi, Bu Dai		Taiwan, R.O.C.	
<p><input checked="" type="checkbox"/> Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) attached hereto.</p>					

## Additional Inventors

Combined Declaration For Patent Application and Power of Attorney (Continued) (Includes Reference to PCT International Applications)				ATTORNEY DOCKET NUMBER 41181-682804	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.					
203	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME	
		Hung	Kun-Cheng		
	INVENTOR'S SIGNATURE	Kun-Cheng Hung (Sign)		DATE	
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP	
		Hsinchu	Taiwan, R.O.C.	R.O.C., Taiwan	
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY	
		7F, No. 56, Lane 476, Sec. 1, Kung Fu Road	Hsinchu	Taiwan, R.O.C.	
204	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME	
		Chen	Chien-Pin		
	INVENTOR'S SIGNATURE	Chien-Pin Chen (Sign)		DATE	
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP	
		Tainan	Taiwan, R.O.C.	R.O.C., Taiwan	
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY	
		No. 21-36, Lane 902, Zhong San South Road, Yong Kong	Tainan	Taiwan, R.O.C.	
205	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME	
	INVENTOR'S SIGNATURE			DATE	
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP	
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY	
206	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME	
	INVENTOR'S SIGNATURE			DATE	
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP	
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY	

☐ Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) attached hereto.

UTILITY PATENT APPLICATION  
Atty. Docket No. 87157656-242004

**IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE**

In re Application of: Linkai Bu *et al.*

Serial No.: 09/765,966

Filed: January 19, 2001

For: DYNAMIC CMOS LEVEL-  
SHIFTING CIRCUIT  
APPARATUS

Group Art Unit: Not yet assigned

Examiner: Not yet assigned

Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450  
Attn Mail Stop: Petitions

February 24, 2004

**SUPPLEMENTAL PETITION UNDER 37 C.F.R. 1.181**

Further to Applicants' Petition filed on December 29, 2003, Applicants hereby respectfully submits a Supplemental Petition. Applicants inadvertently omitted the inventor's declaration with the petition filed on December 29, 2003 as required by the Notice of Missing Parts dated February 27, 2001.

Applicants have enclosed a check in the amount of \$1000.00 to accommodate the amount of \$710.00 for the basic filing fee; \$160.00 for the additional claims; and \$130.00 for surcharge for late filing of the oath and declaration. The Commissioner is authorized to charge Deposit Account 13-0480, for any additional fees that may be necessary for this filing.

**CERTIFICATE OF EXPRESS MAIL EV 417296725 US**

I, James H. Ortega, hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail" post office to Addressee" service under 37 C.F.R. 1.10 on the date indicated below and addressed to Mail Stop: Petitions address above.

Signature: \_\_\_\_\_

James H. Ortega

February 24, 2004

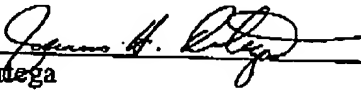
SUPPLEMENTAL PETITION

PAGE 1 OF 2

Applicants respectfully request entry of this Supplemental Petition and further request the present application be reviewed in response to the petition filed December 29, 2003.

Respectfully submitted,

Date: February 24, 2004

  
James H. Ortega  
Reg. No. 50,554  
Attorney for Applicant

**BAKER & MCKENZIE**  
2300 Trammell Crow Center  
2001 Ross Avenue  
Dallas, TX 75201  
Tel: (214) 978-3058  
Fax: (214) 978-3099

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☐ FADED TEXT OR DRAWING
- ☒ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☒ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**